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1763/15

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	09/457,929
		Filing Date	December 8, 1999
		First Named Inventor	JACK CHIHCHIEH
		Examiner Name	LUND, Jeffrie R.
		Group Art Unit	1763
Total Number of Pages in This Submission	10	Attorney Docket No.	A-64873-1/MSS (463035-350)

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	R. Michael Ananian, Reg. No. 35,050 /for/Maria S. Swiatek, Esq. DORSEY & WHITNEY LLP 4 Embarcadero Center, Suite 3400 San Francisco, CA 94111 Telephone : 650-494-8771	Customer Number 32940
Signature		
Date	December 16, 2003	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with Sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:			
Typed or printed name	Kari Bateman		
Signature		Date	December 16, 2003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****RECEIVED**
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TC 1700

In re application of:

JACK CHIHCHIEH YAO *et al.*

Serial No.: 09/457,929

Filed: December 8, 1999

For: **Wafer Carrier and Semiconductor
Apparatus for Processing a
Semiconductor Substrate**Examiner: **LUND, Jeffrie R.**

Art Unit: 1763

Attorney Docket No.: A-64873-1/MSS
(463035-350)Date: December 15, 2003**SMALL ENTITY STATEMENT**United States Patent and Trademark Office
P.O. Box 371611
Pittsburgh, PA 15250-1611

Assignee hereby asserts entitlement to small entity status and is thereby entitled to pay the small entity fee in accordance with 37 C.F.R. 1.27, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. Further, no rights to the patent are held by any concern which would not qualify as a small business concern under 37 C.F.R. §1.27.

Date: Dec 15, 2003

Aviza Technology Inc.

By

Name: Aubrey L. Helms, Jr.Title: Vice President of Process Technology440 Kings Village Road
Scotts Valley, California 95066



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Docket No. A-64873-1/MSS
Dorsey Matter No.: 463035-350

CERTIFICATE OF MAIL (37 CFR 1.8(a))

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by: 
Karl Bateman

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Examiner: **LUND, Jeffrie R.**

Art Unit: **1763**

Dated: **December 16, 2003**

AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The amendment is in response to the Office Action mailed June 16, 2003. A petition for a three month extension of time along with the required fee are submitted herewith to bring the period of response to **December 16, 2003**. This application has recently been assigned to a small entity, and a Small Entity Declaration is enclosed herewith. Please amend the above-identified application as follows. The listing of claims begins on page 2 of this paper. The Remarks begin on page 4 of this paper.